

Shareholding Pattern as on 31st December 2023

(As per Regulation 31 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015)

| | | |
|----------|--|---|
| 1 | Name of the Listed Entity | Sundaram Finance Limited |
| 2 | Scrip Code Name of the scrip Class of security | SUNDARMFIN Sundaram Finance Ltd. Equity |
| 3 | Shareholding pattern Filed under: Reg. 31(1)(a)/ Reg.31(1)(b)/Reg.31(1)(c) | |
| a. | <i>If under 31(1)(b) then indicate the report for Quarter ending</i> | 31.12.2023 |
| b. | <i>If under 31(1)(c) then indicate date of allotment/ extinguishment</i> | Not Applicable |
| 4 | Declaration | Yes/No |
| (i) | Whether the Listed Entity has issued any partly paid up shares? | No |
| (ii) | Whether the Listed Entity has issued any Convertible Securities or Warrants? | No |
| (iii) | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| (iv) | Whether the Listed Entity has any shares in locked-in? | No |
| (v) | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| (vi) | Whether the Listed Entity has issued any differential Voting Rights? | No |
| (vii) | Whether the Listed Entity has issued any Warrants ? | No |
| (viii) | Whether the listed entity has any significant beneficial owner? | No |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = $\frac{\text{Total no. of shares held}}{\text{Total no. of shares held} + \text{Total no. of convertible securities}} \times 100$ | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|-------------------------|--|---|----------------------------------|---------------------------------|---|----------------------------|---|
| | | | | | | | | No of Voting Rights | Total as a % of (A+B+C) | | | No. (a) | As a % of total Shares held (b) | No. (a) | % of total Shares held (b) | |
| (A) | Promoter and Promoter Group | 104 | 4,20,81,181 | - | - | 4,20,81,181 | 37.88 | 4,20,81,181 | 38.19 | - | 37.88 | - | - | - | - | 4,20,81,181 |
| (B) | Public Shareholding | 42,492 | 6,81,25,508 | - | - | 6,81,25,508 | 61.32 | 6,81,25,508 | 61.82 | - | 61.32 | - | - | - | - | 6,62,11,267 |
| (C) | Non-Promoter-Non Public | | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (C2) | Shares held by Employee Trusts | 1 | 8,97,171 | - | - | 8,97,171 | 0.81 | - | - | - | 0.81 | - | - | - | - | 8,97,171 |
| | Total | 42,597 | 11,11,03,860 | - | - | 11,11,03,860 | 100.00 | 11,02,06,689 | 100.00 | | 100.00 | - | - | - | - | 10,91,89,619 |

Table III - Statement showing shareholding pattern of the Public shareholder

| | Category & Name of the Shareholders (I) | PAN (II) | Nos. of shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held VII = IV+V+VI | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII | Number of Voting Rights held in each class of securities (IX) | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Total shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|------|--|-------------|---------------------------------|--|--|---|--|---|---|---|--|--|--|---|---|-------------------------------|--|---|
| | | | | | | | | | No of Voting Rights | Total as a % of Total Voting rights | | | No. (a) | As a % of total Shares held (b) | Number of Shares (Not Applicable) | As a % (Not Applicable) | | |
| (1) | Institutions (Domestic) | | | | | | | | | | | | | | | | | |
| (a) | Mutual Funds / UTI | | 25 | 1,46,39,780 | - | - | 1,46,39,780 | 13.18 | 1,46,39,780 | 13.28 | - | 13.18 | - | - | - | - | 1,46,39,780 | |
| | AXIS MUTUAL FUND TRUSTEE LIMITED (VARIOUS FUND) | | | 52,41,764 | - | - | 52,41,764 | 4.72 | 52,41,764 | 4.76 | - | 4.72 | - | - | - | - | 52,41,764 | |
| | Kotak Emerging Equity Scheme | | | 28,84,741 | - | - | 28,84,741 | 2.60 | 28,84,741 | 2.62 | - | 2.60 | - | - | - | - | 28,84,741 | |
| | SBI Magnum Midcap Fund | | | 14,96,933 | - | - | 14,96,933 | 1.35 | 14,96,933 | 1.36 | - | 1.35 | - | - | - | - | 14,96,933 | |
| | HSBC Midcap Fund | | | 12,54,180 | - | - | 12,54,180 | 1.13 | 12,54,180 | 1.14 | - | 1.13 | - | - | - | - | 12,54,180 | |
| (b) | Venture Capital Funds | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (c) | Alternate Investment Funds | | 9 | 4,13,782 | - | - | 4,13,782 | 0.37 | 4,13,782 | 0.38 | - | 0.37 | - | - | - | - | 4,13,782 | |
| (d) | Financial Institutions / Banks | | 3 | 2,600 | - | - | 2,600 | 0.00 | 2,600 | 0.00 | - | 0.00 | - | - | - | - | 2,200 | |
| (e) | Insurance Companies | | 9 | 36,54,951 | - | - | 36,54,951 | 3.29 | 36,54,951 | 3.32 | - | 3.29 | - | - | - | - | 36,54,951 | |
| (i) | HDFC Life Insurance Company Limited | | | 17,49,747 | - | - | 17,49,747 | 1.57 | 17,49,747 | 1.59 | - | 1.57 | - | - | - | - | 17,49,747 | |
| (ii) | United India Insurance Company Limited | | | 12,54,221 | - | - | 12,54,221 | 1.13 | 12,54,221 | 1.14 | - | 1.13 | - | - | - | - | 12,54,221 | |
| (f) | Provident Funds/ Pension Funds | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (g) | Asset Reconstruction Companies | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (h) | Sovereign Wealth Funds | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (i) | NBFCs registered with RBI | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (j) | Other Financial Institutions | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (i) | Any Other | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| | Sub- Total (B)(1) | | 46 | 1,87,11,113 | - | - | 1,87,11,113 | 16.84 | 1,87,11,113 | 16.98 | - | 16.84 | - | - | - | - | 1,87,10,713 | |
| (2) | Institutions (Foreign) | | | | | | | | | | | | | | | | | |
| (a) | Foreign Direct Investment | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) | Foreign Venture Capital Investors | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) | Sovereign Wealth Funds | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) | Foreign Portfolio Investors Category I | | 79 | 91,36,623 | - | - | 91,36,623 | 8.22 | 91,36,623 | 8.29 | - | 8.22 | - | - | - | - | 91,36,623 | |
| (i) | Nalanda India Equity Fund Limited | | | 41,97,714 | - | - | 41,97,714 | 3.78 | 41,97,714 | 3.81 | - | 3.78 | - | - | - | - | 41,97,714 | |
| (ii) | Pari Washington India Master Fund Ltd. | | | 12,08,622 | - | - | 12,08,622 | 1.09 | 12,08,622 | 1.10 | - | 1.09 | - | - | - | - | 12,08,622 | |
| (e) | Foreign Portfolio Investors Category II | | 7 | 99,750 | - | - | 99,750 | 0.09 | 99,750 | 0.09 | - | 0.09 | - | - | - | - | 99,750 | |
| (f) | Overseas Depositories (holding DRs) (balancing figure) | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (g) | Any Other | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| | Sub- Total (B)(2) | | 86 | 92,36,373 | - | - | 92,36,373 | 8.31 | 92,36,373 | 8.38 | - | 8.31 | - | - | - | - | 92,36,373 | |
| (3) | Central Government/ State Government(s) | | | | | | | | | | | | | | | | | |
| (a) | Central Government/ President of India | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) | State Government/Governor | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) | Shareholding by Companies or Bodies Corporate where Central/State Government is a promoter | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Sub- Total (B)(3) | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (4) | Non- Institutions | | | | | | | | | | | | | | | | | |
| (a) | Associate Companies / Subsidiaries | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) | Directors & their relatives (excluding Independent Directors & Nominee Directors) | | 2 | 53,908 | - | - | 53,908 | 0.05 | 53,908 | 0.05 | - | 0.05 | - | - | - | - | 53,908 | |
| (c) | Key Managerial Personnel | | 3 | 34,885 | - | - | 34,885 | 0.03 | 34,885 | 0.03 | - | 0.03 | - | - | - | - | 34,885 | |
| (d) | Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category) | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (e) | Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust' | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (f) | Investor Education and Protection Fund (IEPF) | | 1 | 1,02,280 | - | - | 1,02,280 | 0.09 | 1,02,280 | 0.09 | - | 0.09 | - | - | - | - | 1,02,280 | |
| (g) | Resident Individual shareholders holding nominal shares capital up to Rs.2 lakh | | 40,056 | 1,05,11,043 | - | - | 1,05,11,043 | 9.46 | 1,05,11,043 | 9.54 | - | 9.46 | - | - | - | - | 98,49,125 | |
| (h) | Resident Individual shareholders holding nominal shares capital in excess of Rs.2 lakh | | 221 | 1,86,99,384 | - | - | 1,86,99,384 | 16.83 | 1,86,99,384 | 16.97 | - | 16.83 | - | - | - | - | 1,76,61,658 | |
| (i) | Non-resident Indians (NRIs) | | 1,259 | 51,78,001 | - | - | 51,78,001 | 4.66 | 51,78,001 | 4.70 | - | 4.66 | - | - | - | - | 49,66,155 | |
| (j) | Foreign National | | 1 | 18,720 | - | - | 18,720 | 0.02 | 18,720 | 0.02 | - | 0.02 | - | - | - | - | 18,720 | |
| (k) | Foreign Companies | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (l) | Body Corporate | | 322 | 49,65,092 | - | - | 49,65,092 | 4.47 | 49,65,092 | 4.51 | - | 4.47 | - | - | - | - | 49,62,742 | |
| | Bright Star Investments Private Limited | | | 26,30,434 | - | - | 26,30,434 | 2.37 | 26,30,434 | 2.39 | - | 2.37 | - | - | - | - | 26,30,434 | |
| (m) | Any Other | | 495 | 6,14,709 | - | - | 6,14,709 | 0.55 | 6,14,709 | 0.56 | - | 0.55 | - | - | - | - | 6,14,708 | |
| i | Hindu Undivided Family (HUF) | | 490 | 6,06,217 | - | - | 6,06,217 | 0.55 | 6,06,217 | 0.55 | - | 0.55 | - | - | - | - | 6,06,216 | |
| ii | Clearing Member | | 2 | 4,572 | - | - | 4,572 | 0.00 | 4,572 | 0.00 | - | 0.00 | - | - | - | - | 4,572 | |
| iii | Trusts | | 2 | 1,170 | - | - | 1,170 | 0.00 | 1,170 | 0.00 | - | 0.00 | - | - | - | - | 1,170 | |
| iv | Unclaimed Share Suspense Account | | 1 | 2,750 | - | - | 2,750 | 0.00 | 2,750 | 0.00 | - | 0.00 | - | - | - | - | 2,750 | |
| | Sub- Total (B)(4) | | 42,360 | 4,01,78,022 | - | - | 4,01,78,022 | 36.16 | 4,01,78,022 | 36.46 | - | 36.16 | - | - | - | - | 3,82,64,181 | |
| | Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+(B)(4) | | 42,492 | 6,81,25,508 | - | - | 6,81,25,508 | 61.32 | 6,81,25,508 | 61.82 | - | 61.32 | - | - | - | - | 6,62,11,267 | |

